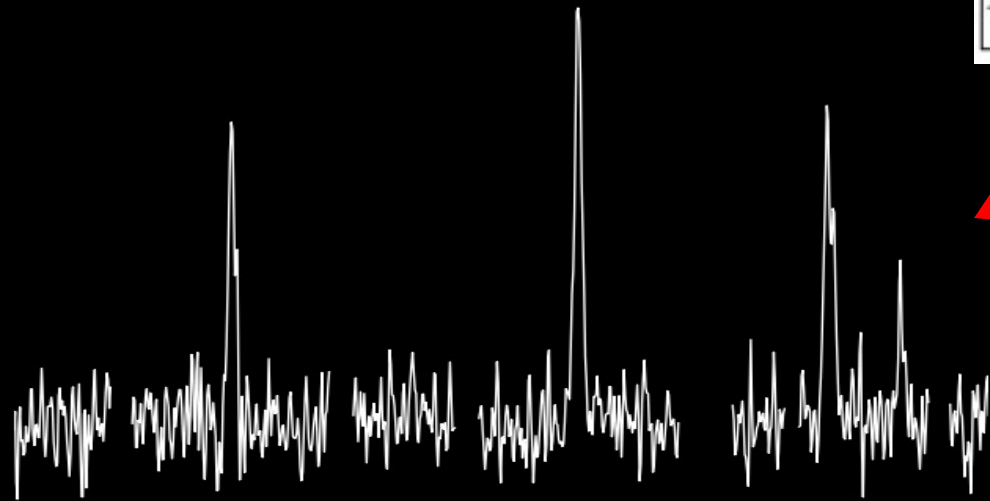
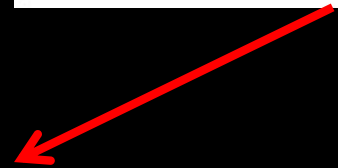
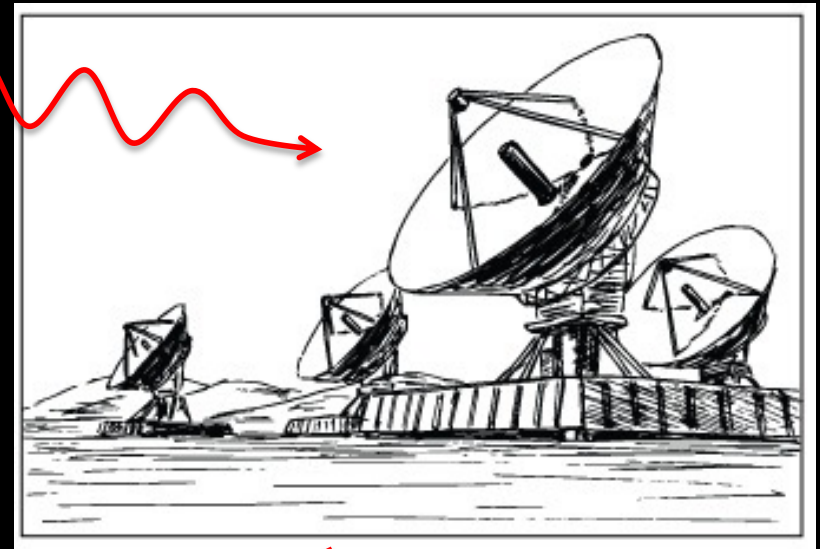
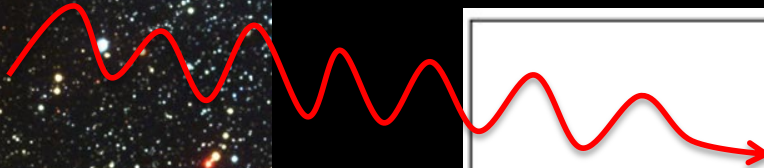
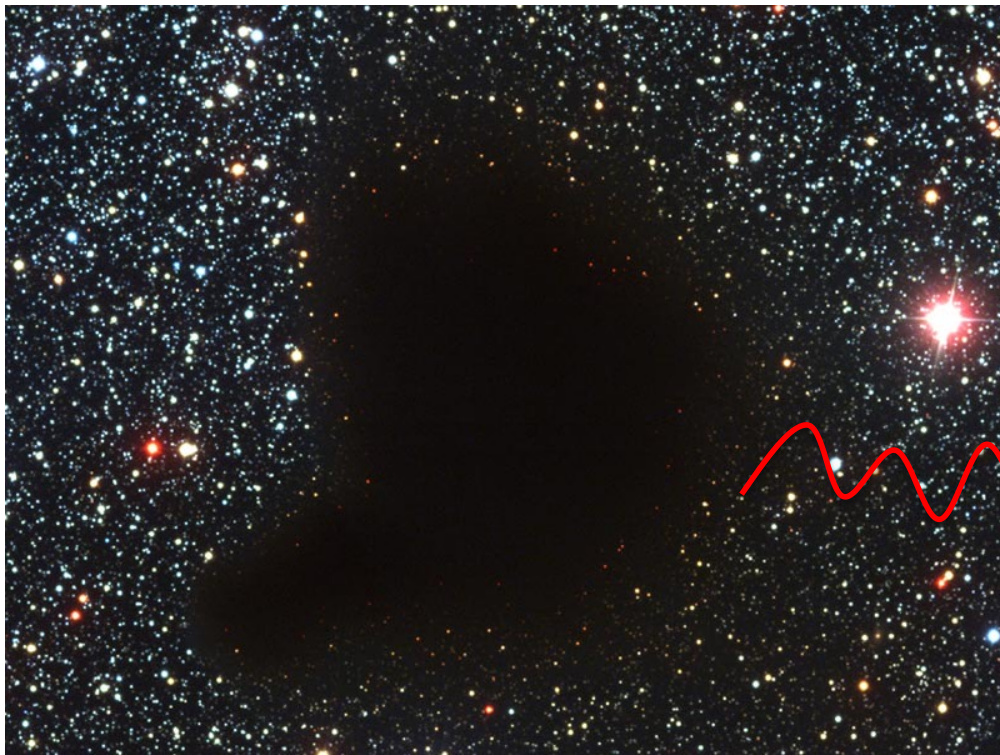


# Introduction to radiative transfer and molecular excitation

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## Organization of this lesson

- 1) Introduction to radiative transfer
- 2) Introduction to molecular excitation
- 3) Line profiles

## 1) Introduction to radiative transfer

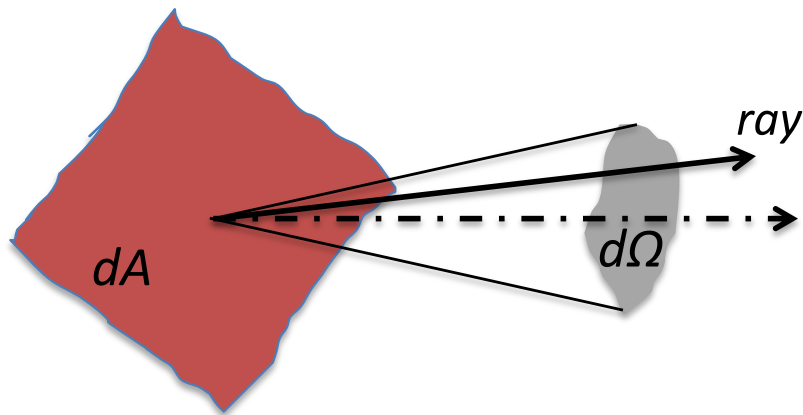
A good introduction can be found for example in chapter 1 of  
*“Radiative Processes in Astrophysics”* by Rybicki and Lightman

A key quantity: *the specific intensity*  $I_\nu$

$I_\nu$  is the energy carried out by a set of rays within a solid angle  $d\Omega$  crossing an area  $dA$  perpendicular to the direction of propagation in a time interval  $dt$  within a frequency range  $d\nu$

$$dE = I_\nu dt dA d\nu d\Omega$$

$$I_\nu = \text{energy (time)}^{-1} (\text{area})^{-1} (\text{frequency})^{-1} (\text{solid angle})^{-1} = \text{erg s}^{-1} \text{cm}^{-2} \text{Hz}^{-1} \text{sr}^{-1}$$



$I_\nu$  is conserved along a ray in empty space

$$\frac{dI_\nu}{ds} = 0$$

$I_\nu$  depends on the position in space and on the direction

## A key equation: *the equation of radiative transfer*

$$\frac{dl_v}{ds} = -\alpha_v l_v + j_v$$

defining  $\tau_v$  and  $S_v$  as:

$$d\tau_v = \alpha_v ds \quad S_v = \frac{j_v}{\alpha_v}$$

$$\frac{dl_v}{d\tau_v} = -l_v + S_v$$

$s$  path length of propagation of ray (=) cm

$\alpha_v$  absorption coefficient (=)  $\text{cm}^{-1}$

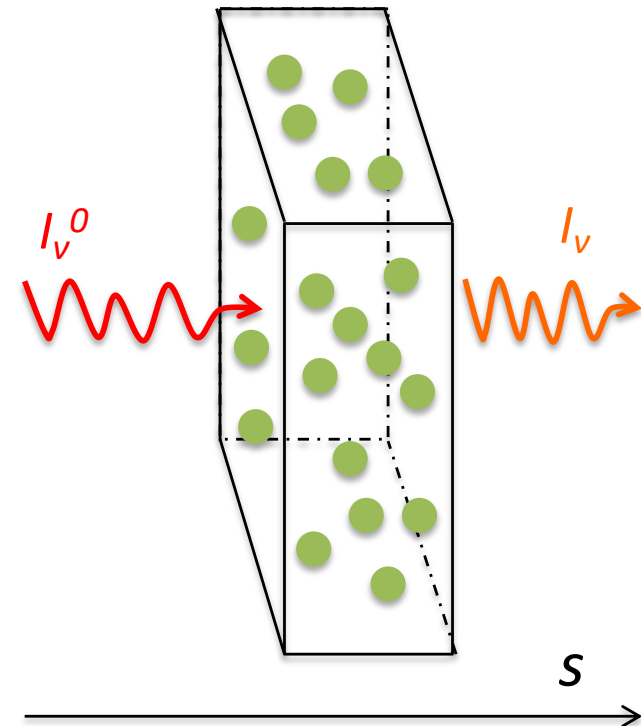
$j_v$  emission coefficient (=)  $\text{erg s}^{-1} \text{cm}^{-3} \text{Hz}^{-1} \text{sr}^{-1}$

formal solution of the equation of radiative transfer:

$$I_v(\tau_v) = I_v(0) e^{-\tau_v} + \int_0^{\tau_v} S_v(\tau_v') e^{-(\tau_v - \tau_v')} d\tau_v'$$

solution for an homogeneous medium:

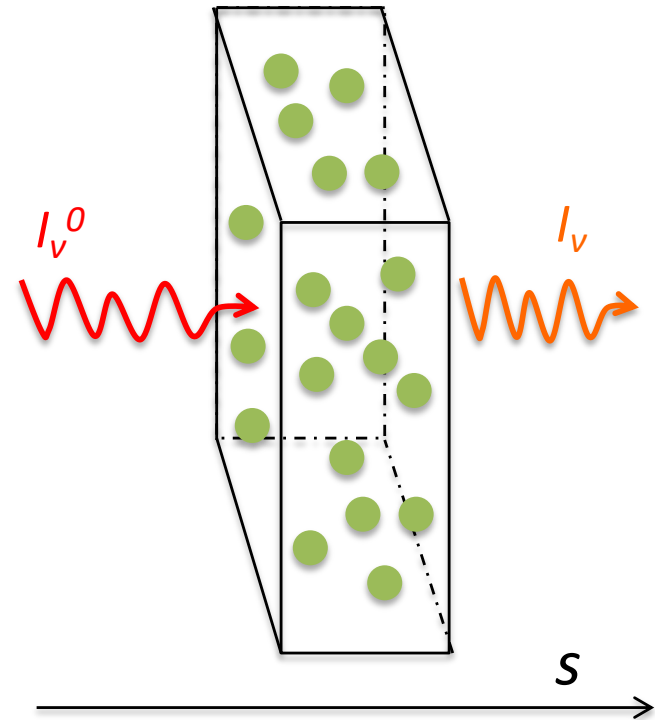
$$I_v = I_v^0 e^{-\tau_v} + S_v(1 - e^{-\tau_v})$$



## Limiting cases ( $\tau_v$ )

radiative transfer equation  
for an homogeneous medium

$$I_v = I_v^0 e^{-\tau_v} + S_v(1 - e^{-\tau_v})$$



$$\tau_v \rightarrow 0 \quad \rightarrow \quad I_v = I_v^0 + [S_v - I_v^0] \tau_v$$

optically thin emission

$$\tau_v \rightarrow \infty \quad \rightarrow \quad I_v = S_v$$

optically thick emission

## Limiting cases ( $\tau_v$ )



**Transparent**



**Opaque**

$$\tau_v \rightarrow 0 \quad \rightarrow \quad I_v = I_v^0 + [S_v - I_v^0] \tau_v$$

optically thin emission

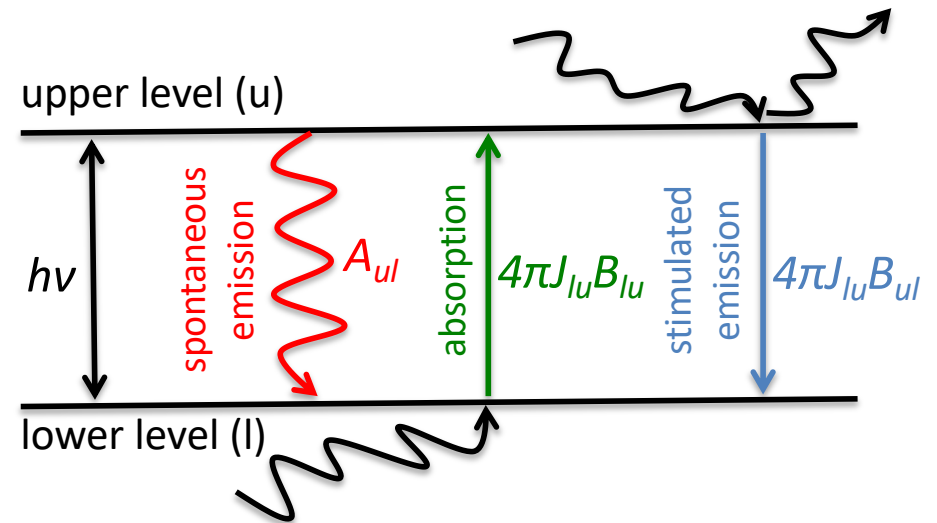
$$\tau_v \rightarrow \infty \quad \rightarrow \quad I_v = S_v$$

optically thick emission



# From macroscopic ( $\alpha_\nu j_\nu$ ) to microscopic quantities

$A_{ul}$	spontaneous emission rate	[=] $s^{-1}$
$4\pi J_{lu} B_{lu}$	absorption rate	[=] $s^{-1}$
$4\pi J_{ul} B_{ul}$	stimulated emission rate	[=] $s^{-1}$



relations between Einstein coefficients

$$A_{ul} = 4\pi \frac{2h\nu^3}{c^2} B_{ul} \quad g_l B_{lu} = g_u B_{ul}$$

$g_l, g_u$  statistical weight of lower and upper level

average radiation field  $J$

$$J = \frac{1}{4\pi} \int_{4\pi} d\Omega \int_0^\infty I_\nu \phi(\nu) d\nu$$

intrinsic line profile function  $\phi(\nu)$  [=]  $\text{Hz}^{-1}$

normalized such as:

$$\int_0^\infty \phi(\nu) d\nu = 1$$

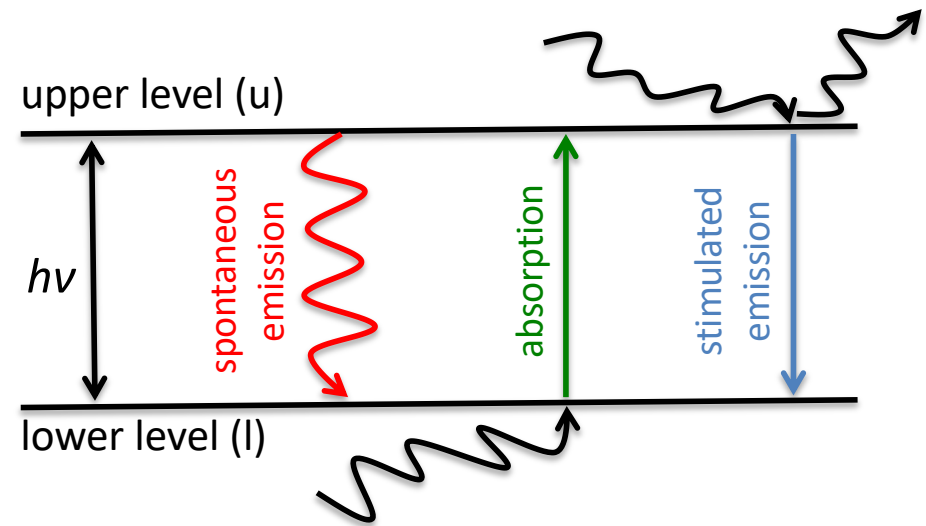
if thermal motions or turbulence dominate:  
gaussian line profile

$$\phi(\nu) = \frac{1}{\Delta\nu \pi^{1/2}} \exp\left\{-\left(\frac{\nu-\nu_0}{\Delta\nu}\right)^2\right\}$$

## From macroscopic ( $\alpha_\nu j_\nu$ ) to microscopic quantities

$$j_\nu = \frac{h\nu}{4\pi} n_u A_{ul} \phi(\nu)$$

$$\alpha_\nu = \frac{c^2 A_{ul} n_u}{8\pi\nu^2} \left( \frac{n_l/g_l}{n_u/g_u} - 1 \right) \phi(\nu)$$



$A_{ul}$  Einstein coefficient of spontaneous emission [=]  $s^{-1}$

$g_l g_u$  statistical weight of lower and upper level

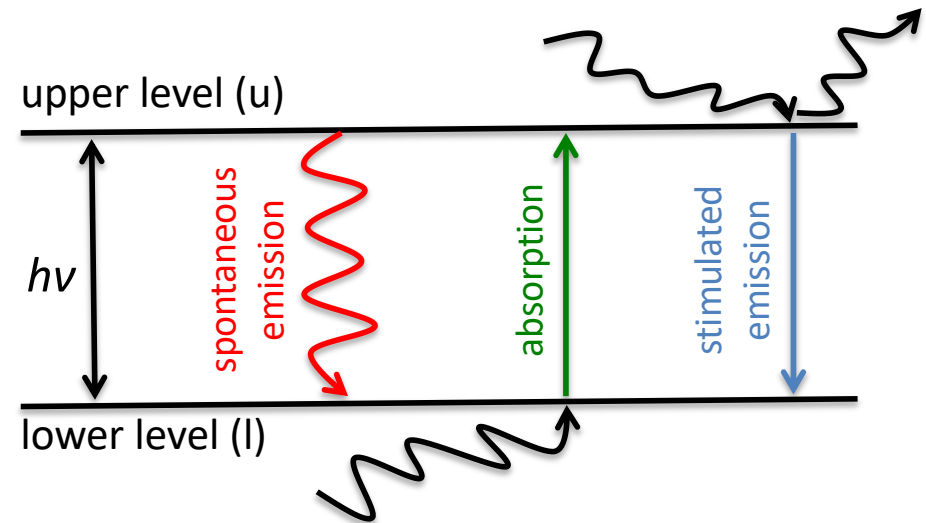
$\phi(\nu)$  line profile function [=]  $Hz^{-1}$

$n_l n_u$  population of lower and upper level [=]  $cm^{-3}$

## The excitation temperature $T_{ex}$

$$j_\nu = \frac{h\nu}{4\pi} n_u A_{ul} \phi(\nu)$$

$$\alpha_\nu = \frac{c^2 A_{ul} n_u}{8\pi\nu^2} \left( \frac{n_l/g_l}{n_u/g_u} - 1 \right) \phi(\nu)$$



we define  $T_{ex}$  as in Boltzmann law

$$\frac{n_u/g_u}{n_l/g_l} = \exp(-h\nu/kT_{ex})$$

$$T_{ex} > 0 \Rightarrow n_l/g_l > n_u/g_u \Rightarrow \alpha_\nu > 0 \Rightarrow \tau_\nu > 0$$

normal populations  
"thermal emission"

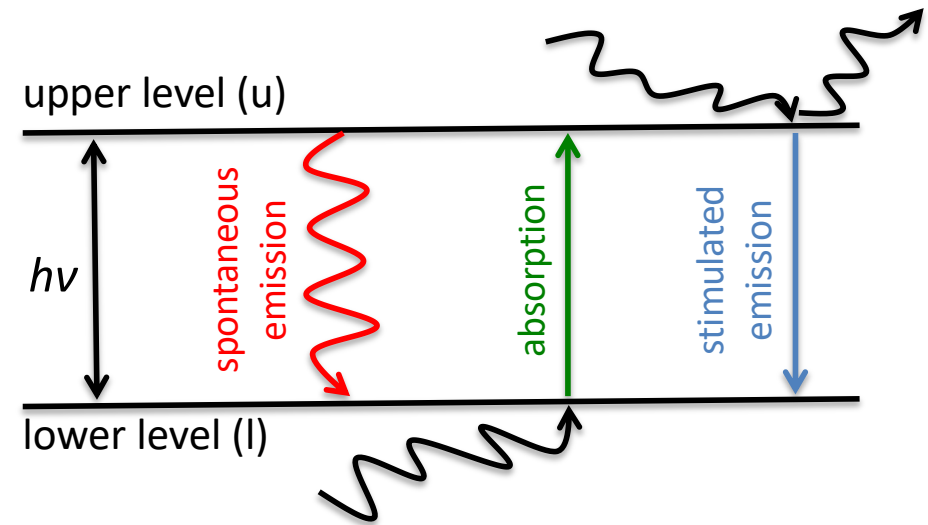
$$T_{ex} < 0 \Rightarrow n_u/g_u > n_l/g_l \Rightarrow \alpha_\nu < 0 \Rightarrow \tau_\nu < 0$$

inverted populations  
maser emission

## The source function $S_\nu$

$$j_\nu = \frac{h\nu}{4\pi} n_u A_{ul} \phi(\nu)$$

$$\alpha_\nu = \frac{c^2 A_{ul} n_u}{8\pi\nu^2} \left( \frac{n_l/g_l}{n_u/g_u} - 1 \right) \phi(\nu)$$



$$\frac{n_u/g_u}{n_l/g_l} = \exp(-h\nu/kT_{ex})$$

$$S_\nu = \frac{j_\nu}{\alpha_\nu} = \frac{2h\nu^3}{c^2} \frac{1}{\left( \frac{n_l/g_l}{n_u/g_u} - 1 \right)} = \frac{2h\nu^3}{c^2} \frac{1}{\underbrace{\exp(h\nu/kT_{ex}) - 1}_{\text{Planck law at } T=T_{ex}}}$$

$$S_\nu = B_\nu(T_{ex})$$

## 1) Introduction to radiative transfer: Summary

A key quantity: *the specific intensity  $I_\nu$*

A key equation: *the equation of radiative transfer*

From macroscopic ( $\alpha_\nu j_\nu$ )

to microscopic quantities ( $A_{ul} g_u g_l$ )

and level populations ( $n_u n_l$ )

How are these level populations ( $n_u n_l$ ) determined?

## 2) Introduction to molecular excitation

# Statistical equilibrium

$$\frac{dn_i}{dt} = \sum_{j \neq i} n_j (4\pi J_{ij} B_{ji} + \gamma_{ji} n) + \sum_{j > i} n_j A_{ji} - n_i \sum_{j \neq i} (4\pi J_{ij} B_{ij} + \gamma_{ij} n) - n_i \sum_{j < i} A_{ij} = 0$$

$\gamma_{ij} n$  collisional excitation rate for transition  $i \rightarrow j$  per unit time

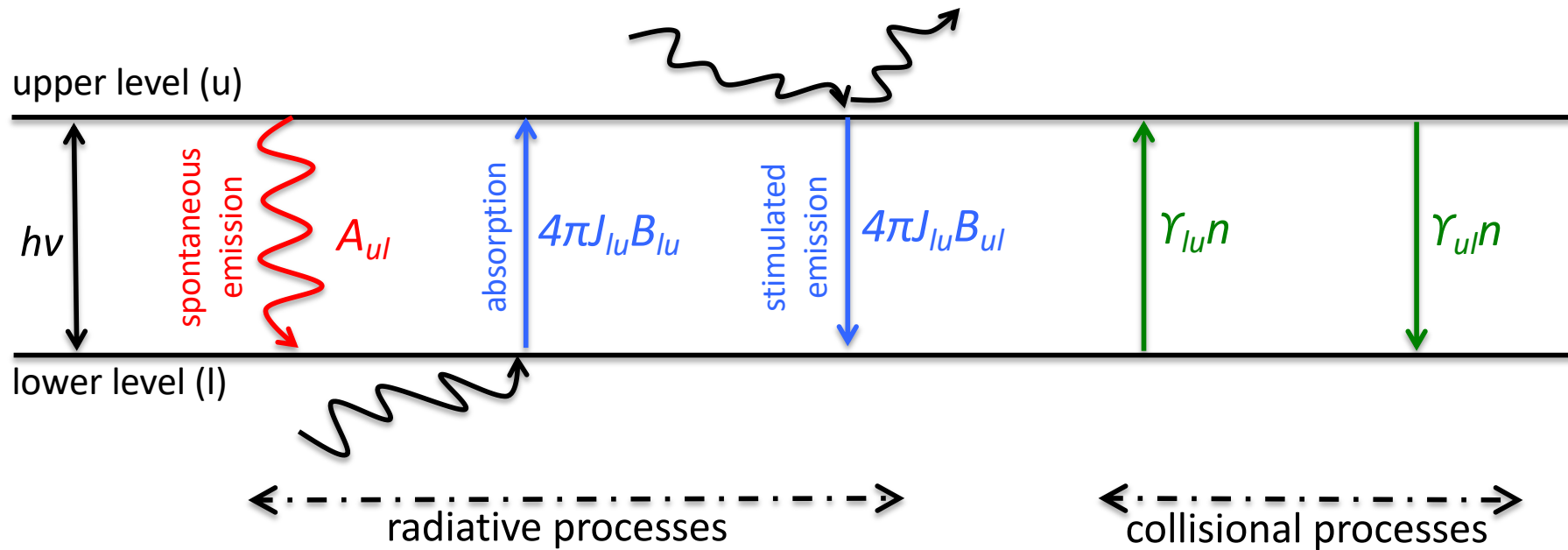
[=]  $s^{-1}$

$\gamma_{ij}$  collisional rate coefficient for transition  $i \rightarrow j$

[=]  $cm^3 s^{-1}$

$n$  number of particles of buffer gas per unit volume

[=]  $cm^{-3}$



Limiting case: collisional rates  $\gg$  radiative rates  $\rightarrow$  LTE

$$\frac{dn_i}{dt} = \sum_{j \neq i} n_j (4\pi J_{ij} B_{ji} + \gamma_{ji} n) + \sum_{j > i} n_j A_{ji} - n_i \sum_{j \neq i} (4\pi J_{ij} B_{ij} + \gamma_{ij} n) - n_i \sum_{j < i} A_{ij} = 0$$

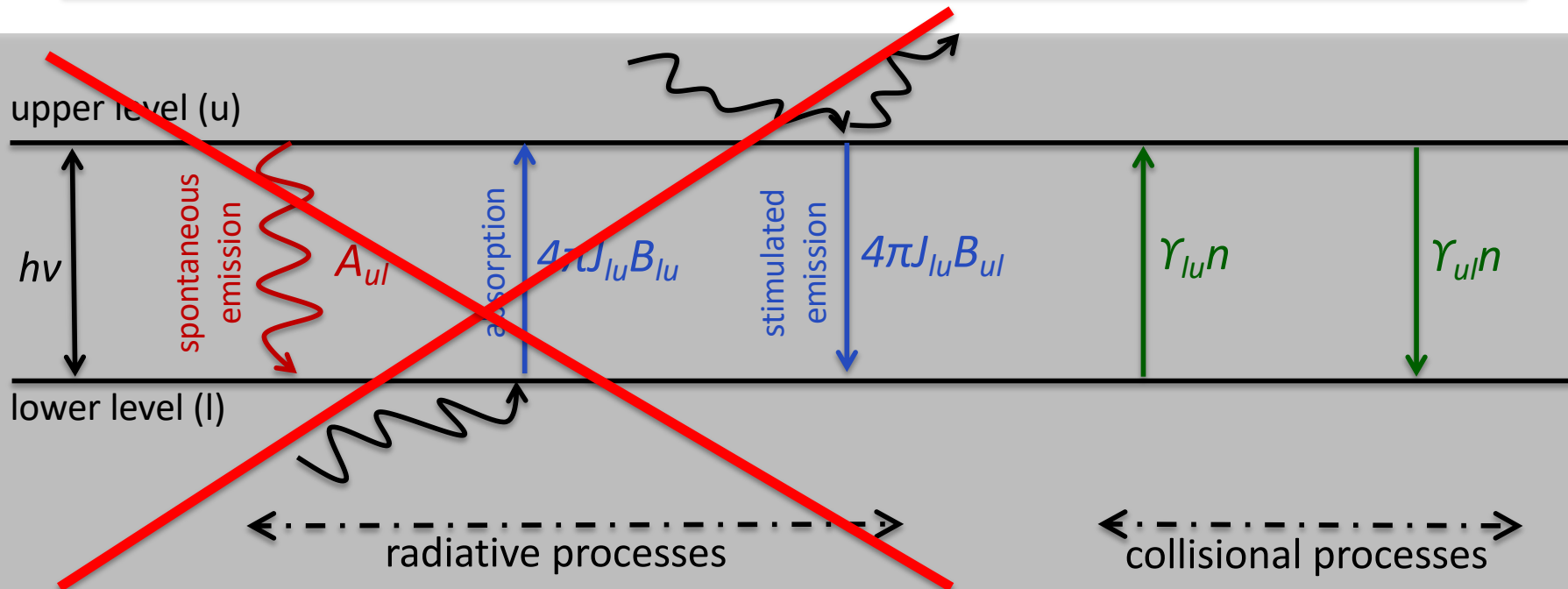
$\gamma_{lu} g_l = \gamma_{ul} g_u \exp(-hv/kT_{kin})$  collisional rate coefficients must fulfil detailed balance

$$n_u \gamma_{ul} n - n_l \gamma_{lu} n = 0$$



$$\frac{n_u/g_u}{n_l/g_l} = \exp(-hv/kT_{kin})$$

Boltzmann population distribution at  $T_{kin}$



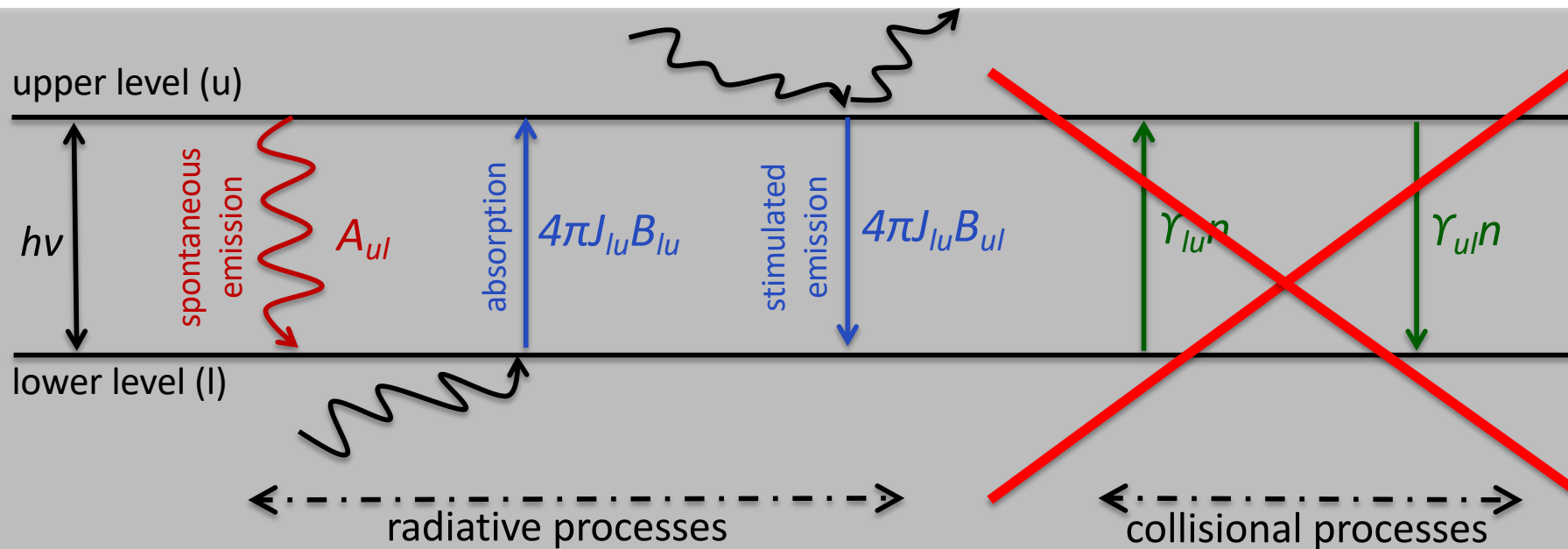


Limiting case: radiative rates  $\gg$  collisional rates

$$\frac{dn_i}{dt} = \sum_{j \neq i} n_j (4\pi J_{ij} B_{ji} + \gamma_{ji} n) + \sum_{j > i} n_j A_{ji} - n_i \sum_{j \neq i} (4\pi J_{ij} B_{ij} + \gamma_{ij} n) - n_i \sum_{j < i} A_{ij} = 0$$

if  $J_{ul} = B_\nu(T_{rad})$  uniform blackbody radiation field at  $T_{rad}$

$$n_u 4\pi J_{ul} B_{ul} + n_u A_{ul} - n_l 4\pi J_{ul} B_{lu} = 0 \rightarrow \frac{n_u/g_u}{n_l/g_l} = \exp(-h\nu/kT_{rad}) \quad \text{Boltzmann population distribution at } T_{rad}$$



## 2) Introduction to molecular excitation: Remember

One must solve statistical equilibrium

If collisional processes dominate:

→ LTE: Boltzmann distribution at  $T_{kin}$

If radiative processes dominate:

→ LTE: Boltzmann distribution at  $T_{rad}$

Solving statistical equilibrium

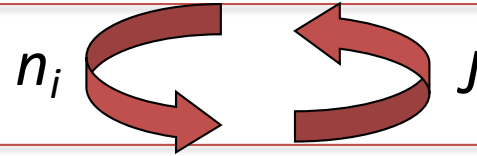
## Statistical equilibrium

$$\frac{dn_i}{dt} = \sum_{j \neq i} n_j (4\pi J_{ij} B_{ji} + \gamma_{ji} n) + \sum_{j > i} n_j A_{ji} - n_i \sum_{j \neq i} (4\pi J_{ij} B_{ij} + \gamma_{ij} n) - n_i \sum_{j < i} A_{ij} = 0$$

- add a conservation equation:  $\sum_i n_i = n$
- linear system of algebraic equations: to be solved numerically (Gauss-Jordan, ...)
- knowns:  $A_{ij}, B_{ij}, \gamma_{ij}$  (*spectroscopic and collisional microscopic quantities*)  
 $n$  (density of particles of buffer gas)
- unknowns:  $n_i$  (level populations)
- to be evaluated:  $J_{ij}$  (radiation field, depends on the unknown  $n_i$ )

# Large Velocity Gradient (LVG) method

$$\frac{dn_i}{dt} = \sum_{j \neq i} n_j (4\pi J_{ij} B_{ji} + \gamma_{ji} n) + \sum_{j > i} n_j A_{ji} - n_i \sum_{j \neq i} (4\pi J_{ij} B_{ij} + \gamma_{ij} n) - n_i \sum_{j < i} A_{ij} = 0$$

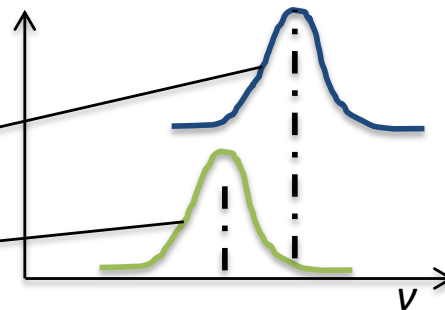
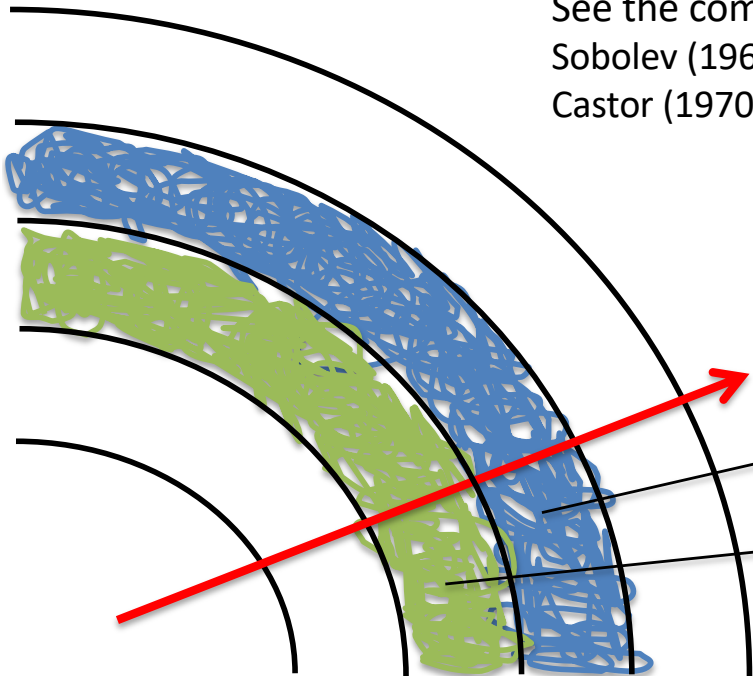


$$J = (1 - \beta) S_v + \beta I_v^0$$

$\beta$ : escape probability  
 $\beta = \frac{1 - e^{-\tau_v}}{\tau_v}$

See the complete derivation in:  
 Sobolev (1960), *Moving envelopes of stars*, Harvard Univ. Press  
 Castor (1970), *Spectral line formation in Wolf-Rayet envelopes*, MNRAS, 149, 111

Level populations  $n_i$



Shells radiatively decoupled due to Doppler shift

Radiation field  $J$  can be evaluated locally in each shell

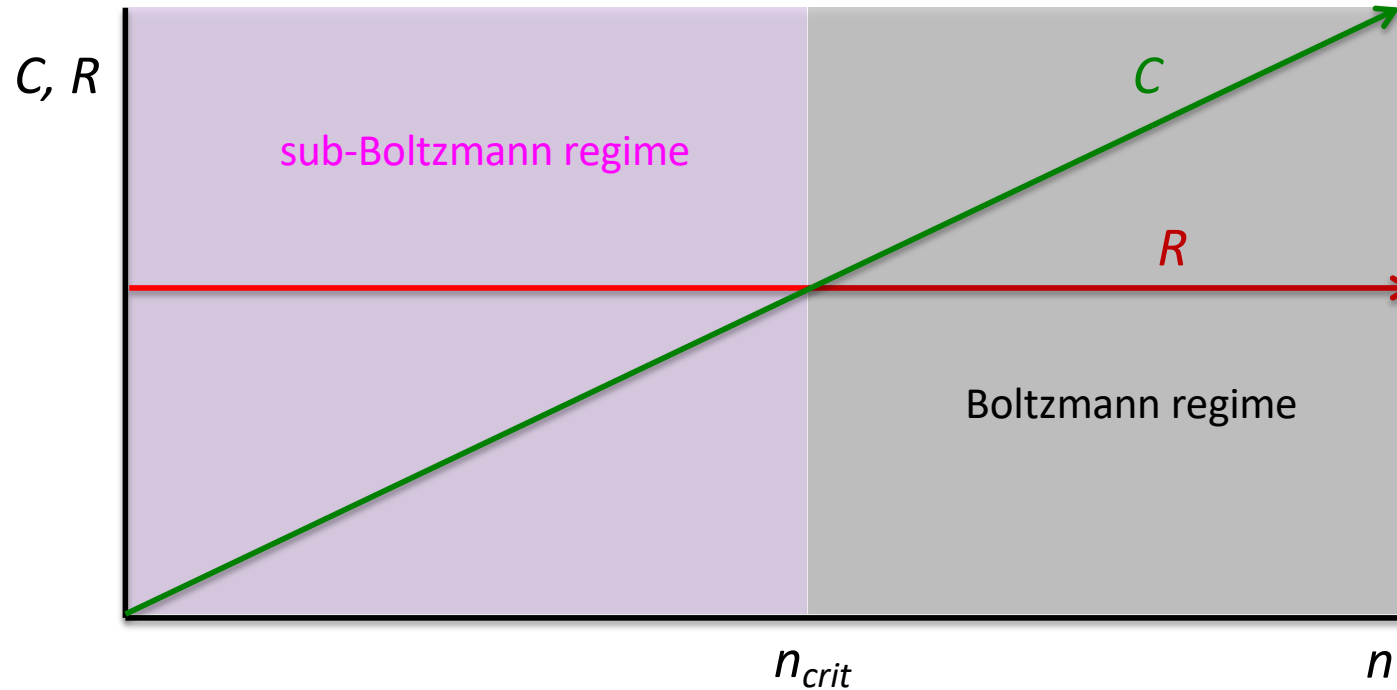
## More advanced methods

$$\frac{dn_i}{dt} = \sum_{j \neq i} n_j (4\pi J_{ij} B_{ji} + \gamma_{ji} n) + \sum_{j > i} n_j A_{ji} - n_i \sum_{j \neq i} (4\pi J_{ij} B_{ij} + \gamma_{ij} n) - n_i \sum_{j < i} A_{ij} = 0$$

More advanced methods to get the level populations:

- Monte Carlo: Bernes 1979, A&A, 73, 67  
Hogerheijde & van der Tak 2000, A&A, 362, 697
- Accelerated  $\Lambda$  Iteration (ALI): Rybicki & Hummer 1991, A&A, 245, 171
- Gauss-Seidel algorithm: Trujillo Bueno & Bendicho 1995, ApJ, 455, 646  
Daniel & Cernicharo 2008, A&A, 488, 1247
- Coupled escape probability (CEP): Elitzur & Asensio Ramos 2006, MNRAS, 365, 779

# Statistical equilibrium collisional vs radiative excitation



$$R = C \rightarrow n_{crit} = A_{ul} / \gamma_{ul}$$

upper level (u)

$h\nu$

lower level (l)

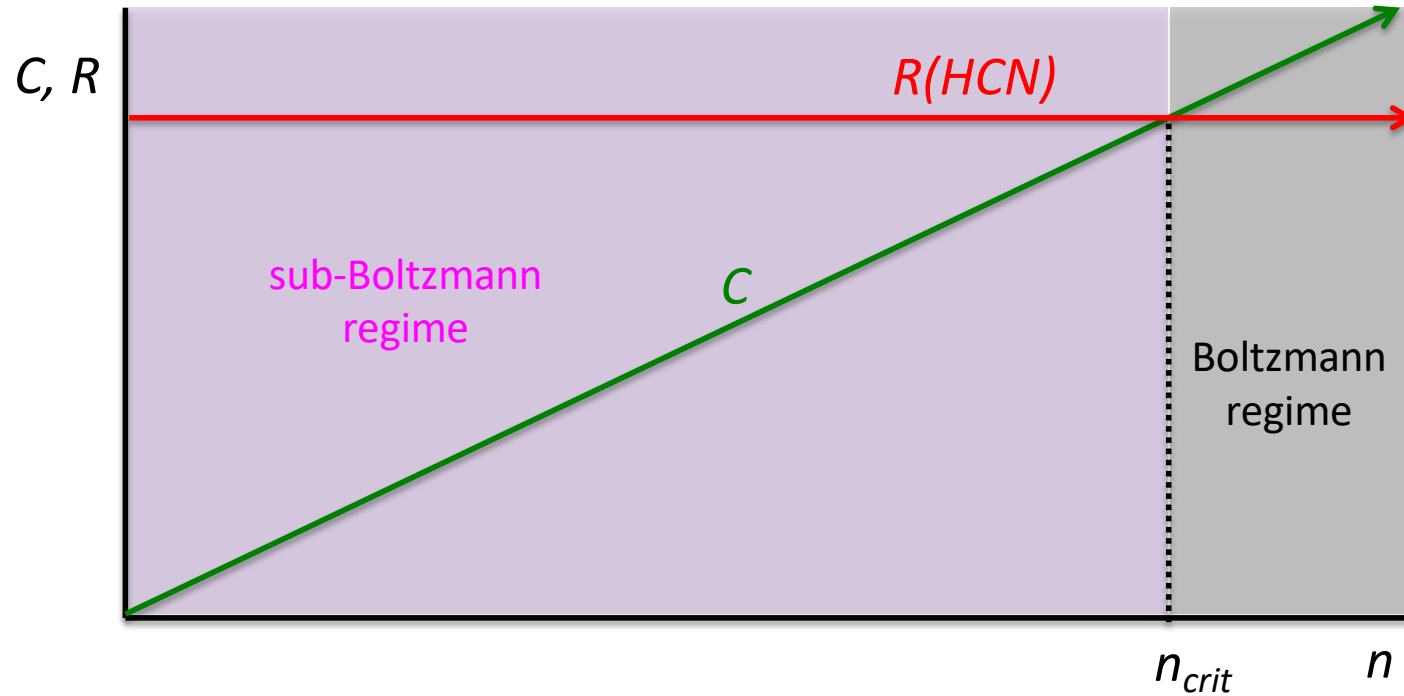
radiative  
decay

$$R = A_{ul}$$

collisional  
excitation

$$C = \gamma_{ul} n$$

# Statistical equilibrium collisional vs radiative excitation



upper level (u)

$h\nu$

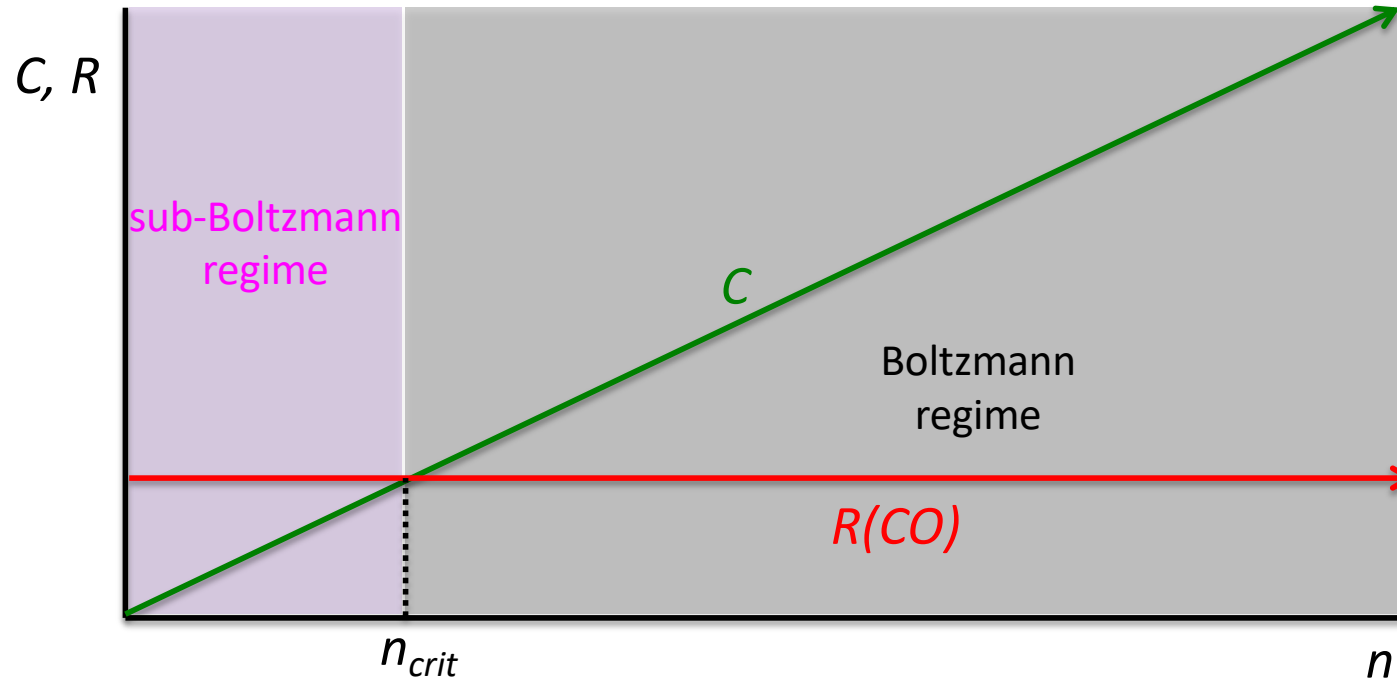
radiative decay  
 $R = A_{ul} \propto \mu^2$

collisional excitation  
 $C = \gamma_{ul}n$

lower level (l)



# Statistical equilibrium collisional vs radiative excitation



upper level (u)

$h\nu$

radiative  
decay



$$R = A_{ul} \propto \mu^2$$

collisional  
excitation

$$C = \gamma_{ul} n$$

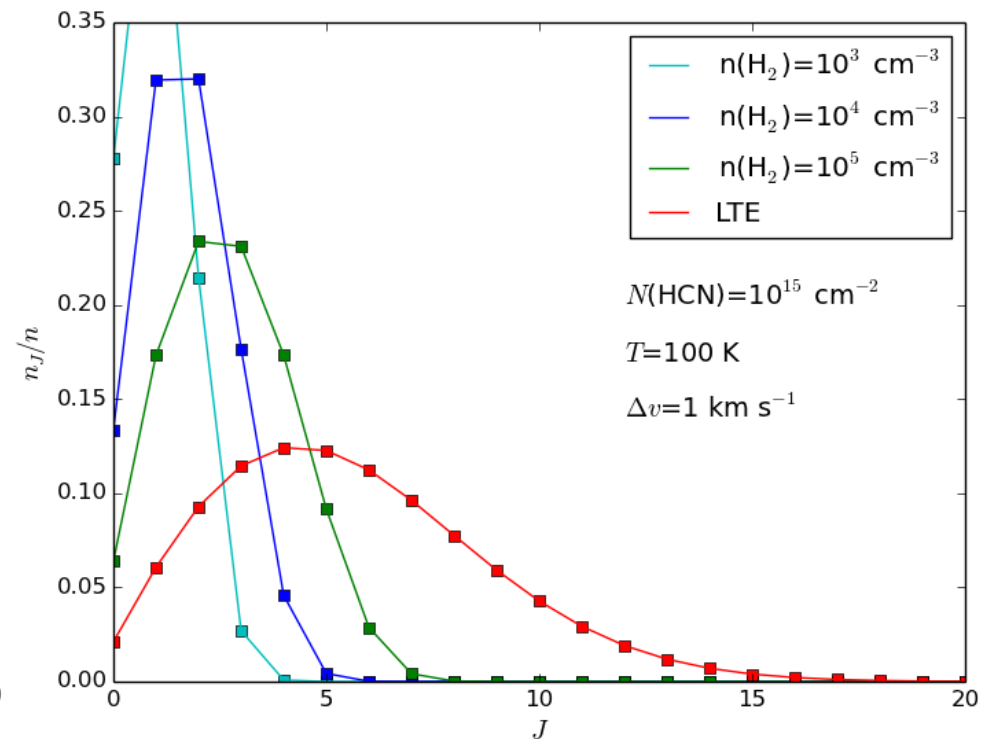
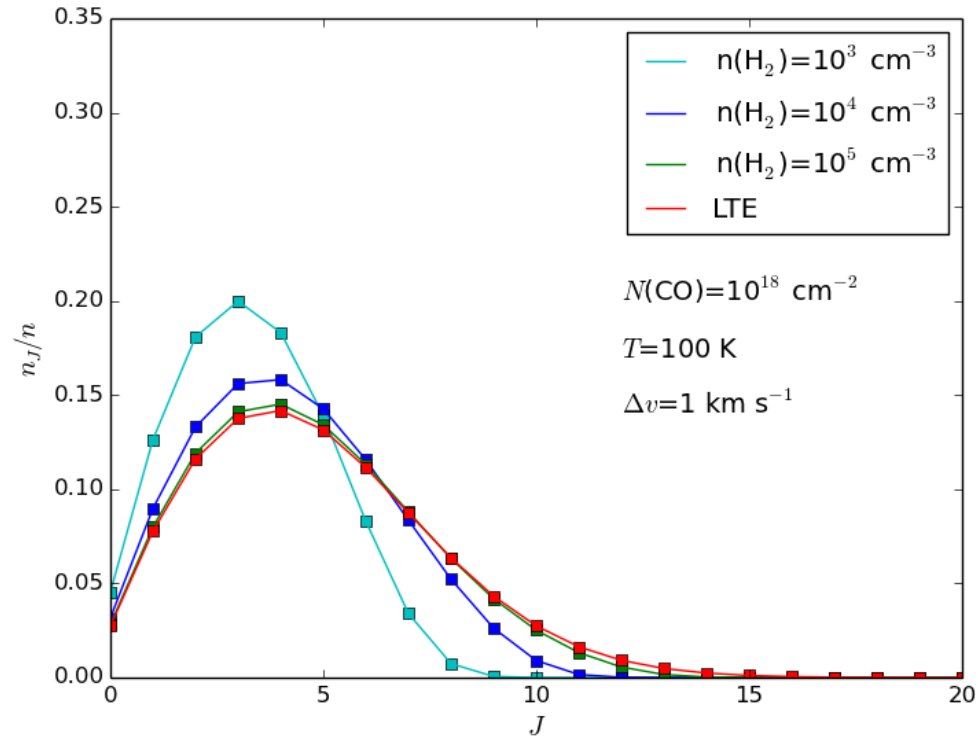
lower level (l)

# Statistical equilibrium

## Limiting case towards LTE

CO:  $\mu=0.11$  Debye

HCN:  $\mu=2.99$  Debye



- At high densities, level populations tend towards a Boltzmann distribution at  $T_{kin}$  (LTE)
- The critical density of thermalization depends on the dipole moment of the molecule

- CO is a good tracer of  $T_{kin}$  : easily thermalized

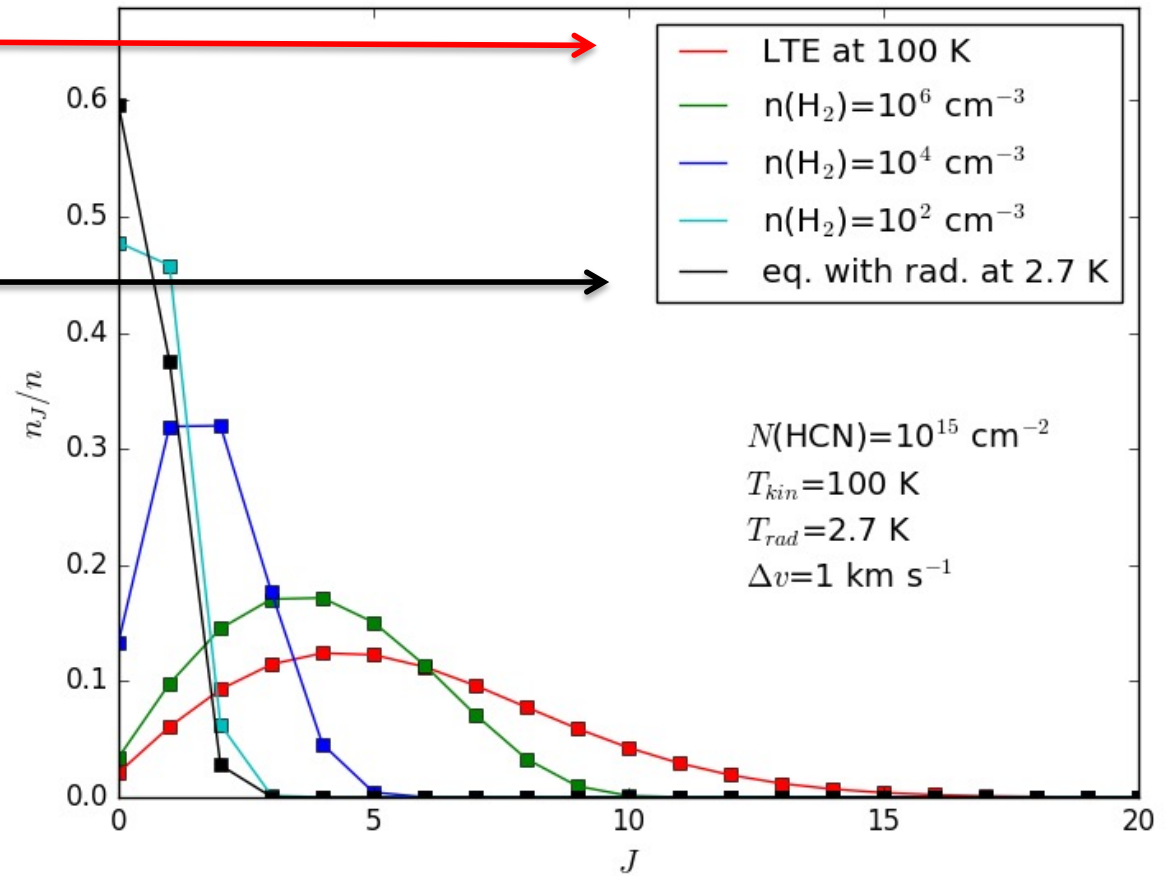
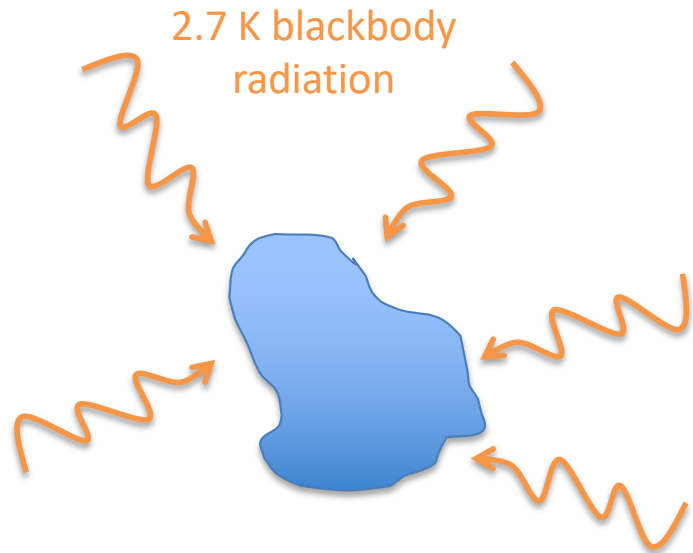
- HCN is a good tracer of  $n(\text{H}_2)$  : thermalises at high densities

# Statistical equilibrium

## Limiting case with strong surrounding radiation field

high  $n(\text{H}_2)$ : thermalisation at  $T_{kin}$

low  $n(\text{H}_2)$ : equilibrium with surrounding radiation field

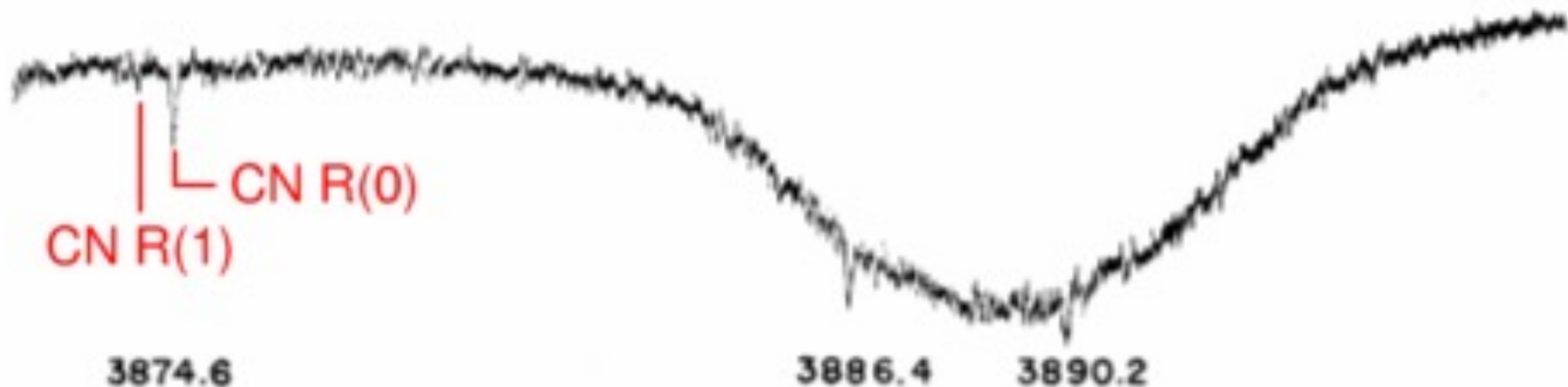


CMB discovered by Penzias & Wilson in 1965.

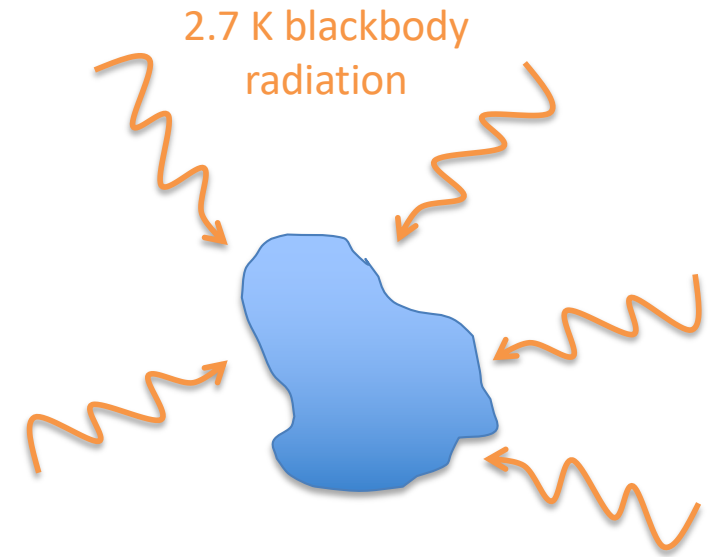
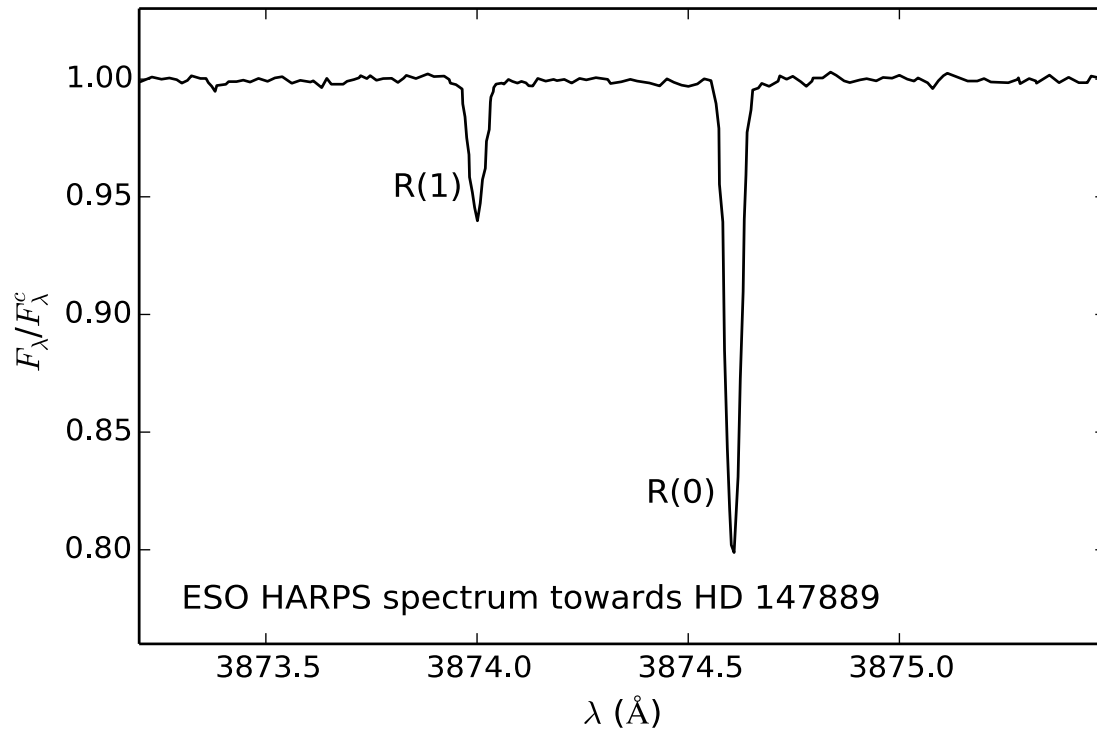
First observations of CMB by McKellar using interstellar molecules in 1940, although he did not realized of the meaning at that time.

In 1950 the Nobel-prize winning physicist Gerhard Herzberg wrote in his book *Spectra of Diatomic Molecules*:

From the intensity ratio of the lines with  $K = 0$  and  $K = 1$  a rotational temperature of  $2.3^\circ \text{ K}$  follows, which has of course only a very restricted meaning.



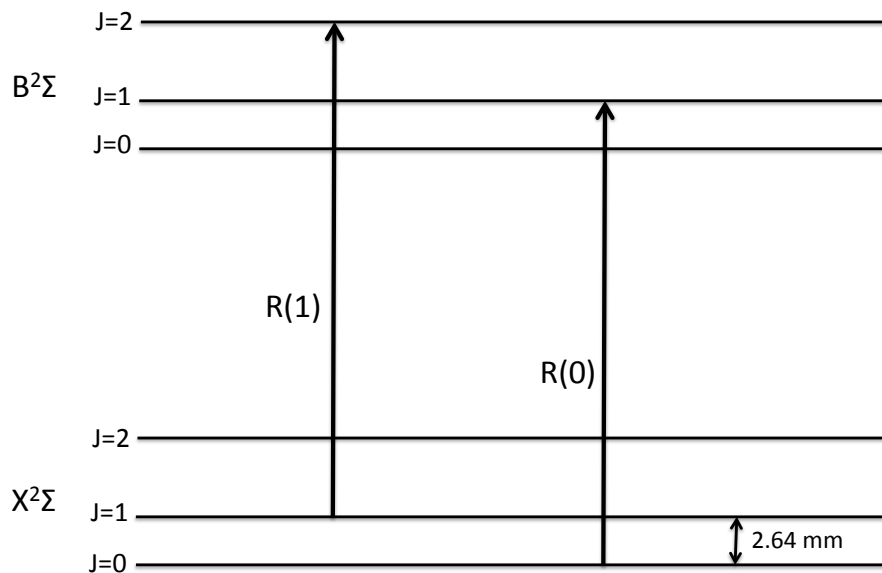
Spectrum towards zeta Oph taken in 1940 by McKellar.



$$N(\text{CN}) = 10^{13} \text{ cm}^{-2}$$

$$T_k = 50 \text{ K}$$

$$\Delta v = 1 \text{ km s}^{-1}$$



$n(\text{H}_2)$ [ $\text{cm}^{-3}$ ]	$T_{ex}$ [K]
10	2.73
100	2.73
1000	2.77
$10^4$	3.15
$10^5$	7.15

## 2) Introduction to molecular excitation: Summary

One must solve statistical equilibrium

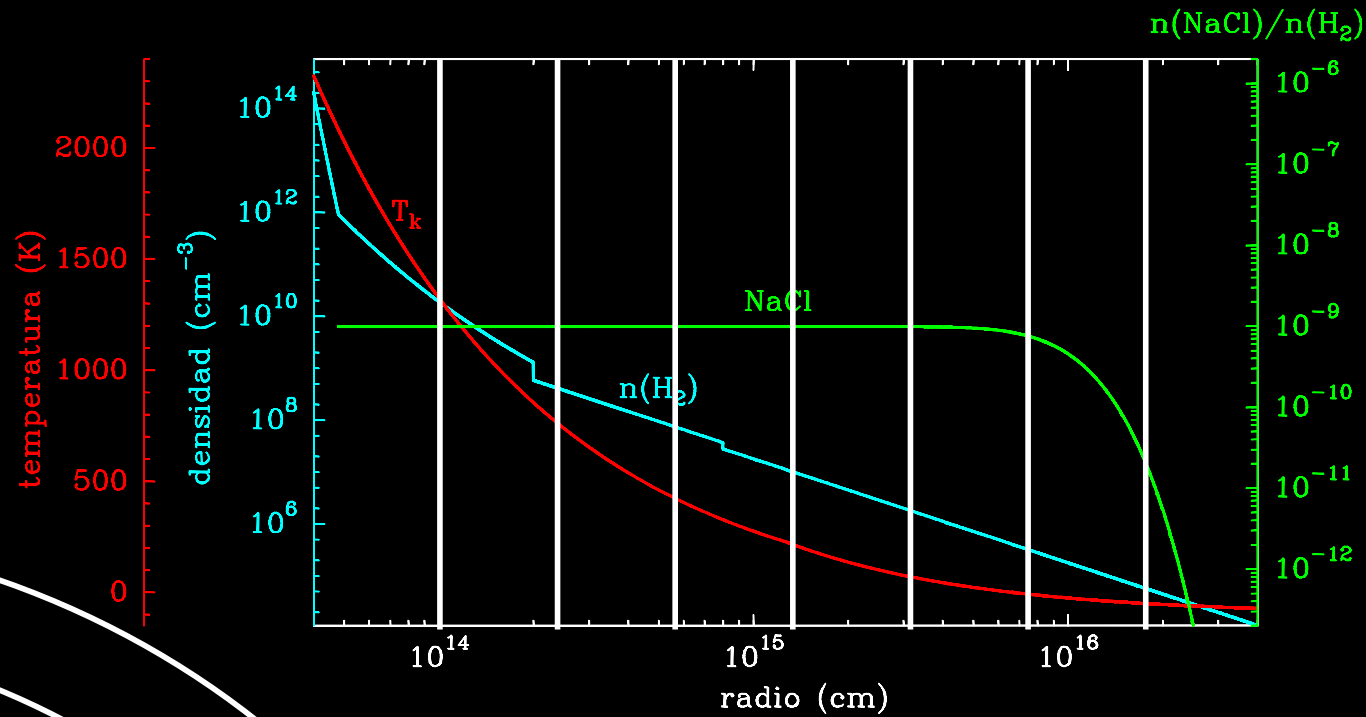
If collisional processes dominate:

→ LTE: Boltzmann distribution at  $T_{kin}$

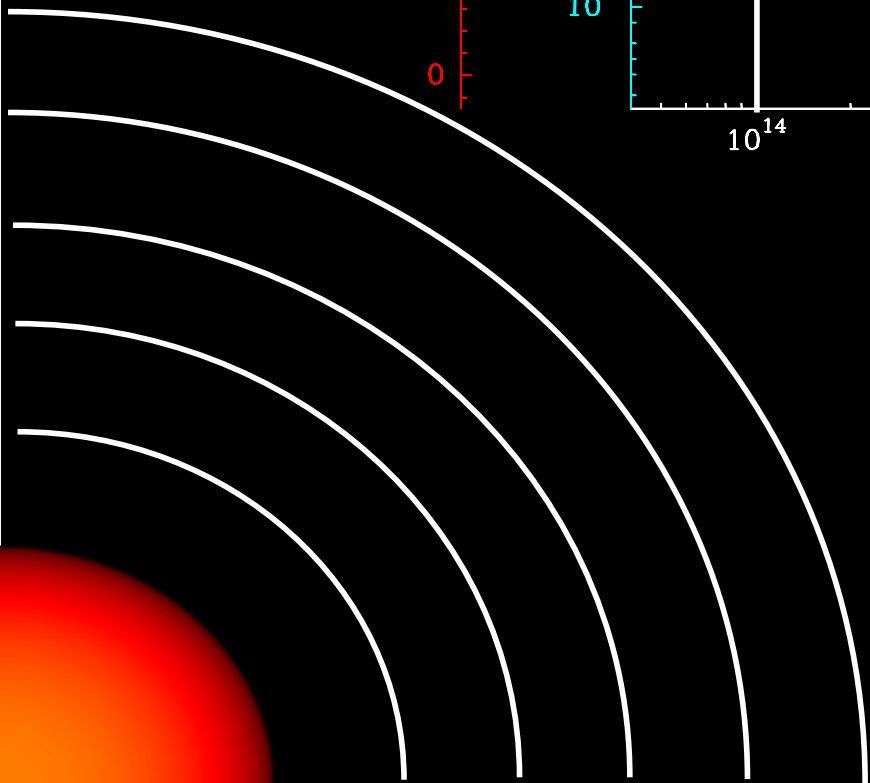
If radiative processes dominate:

→ LTE: Boltzmann distribution at  $T_{rad}$

# Radiative model: statistical equilibrium + ray-tracing



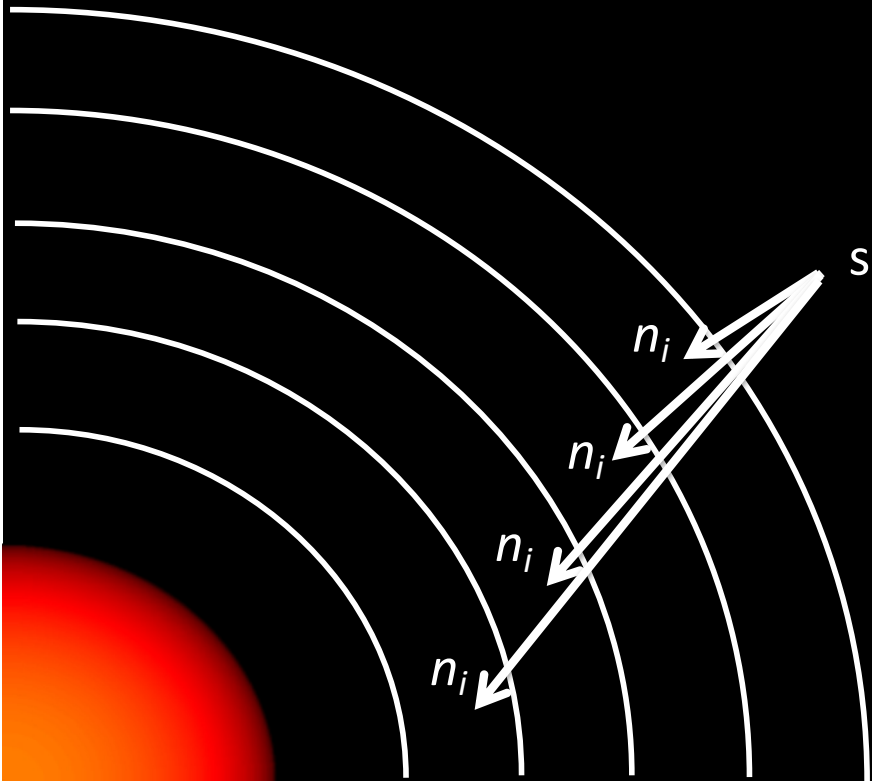
Example:  
NaCl in a spherically expanding envelope



## Radiative model: statistical equilibrium + ray-tracing

$$\frac{dn_i}{dt} = \sum_{j \neq i} n_j (4\pi J_{ij} B_{ji} + \gamma_{ji} n) + \sum_{j > i} n_j A_{ji} - n_i \sum_{j \neq i} (4\pi J_{ij} B_{ij} + \gamma_{ij} n) - n_i \sum_{j < i} A_{ij} = 0$$

solve statistical equilibrium (LVG)  
get level populations





# Radiative model: statistical equilibrium + ray-tracing

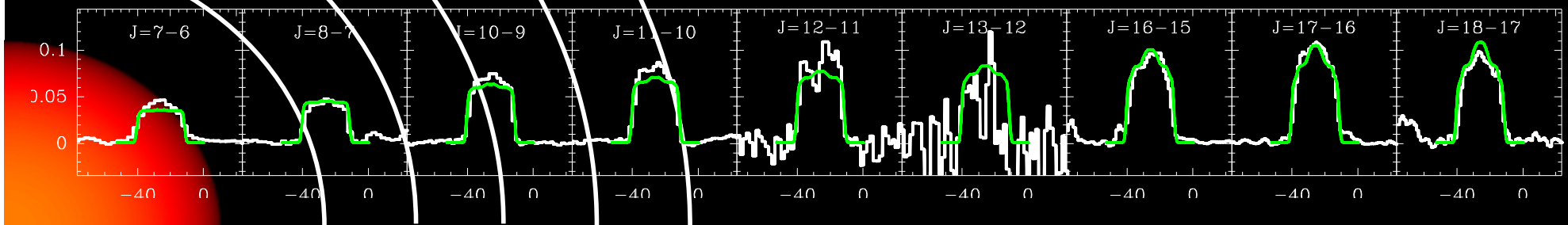
$$I_\nu = I_\nu^0 e^{-\tau_\nu} + S_\nu(1 - e^{-\tau_\nu})$$

solve radiative transfer (ray-tracing)  
get line profiles

ray-tracing



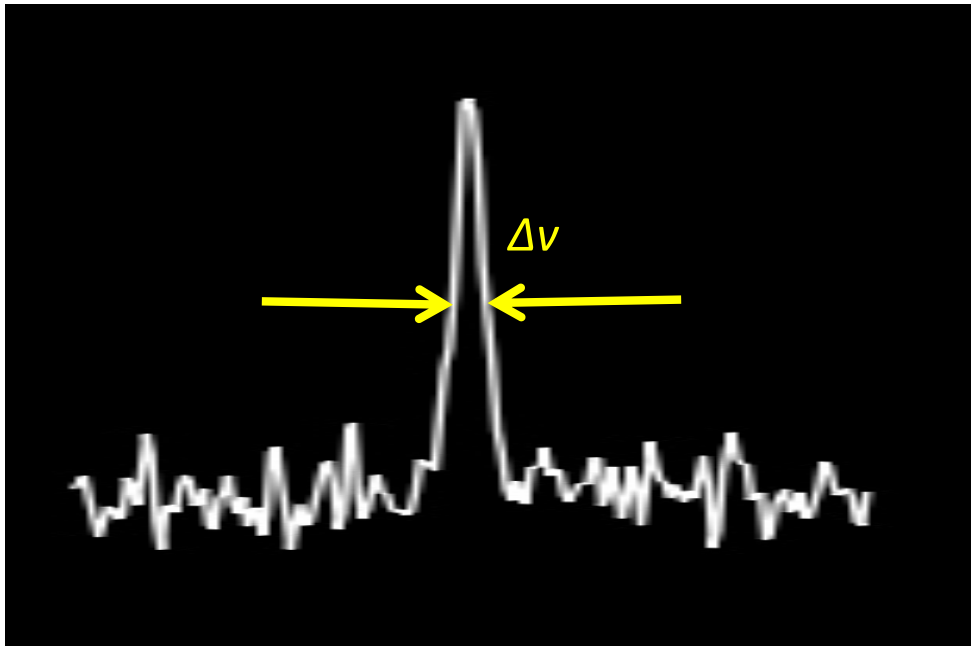
NaCl



### 3) Line profiles

# Line profiles

Macroscopic motions: NO  
- quiescent source



gaussian line profile:

- thermal broadening  $\rightarrow T_{kin}$

$$\Delta v = \sqrt{\frac{8 \ln 2 k T_{kin}}{m}}$$

- microturbulence broadening

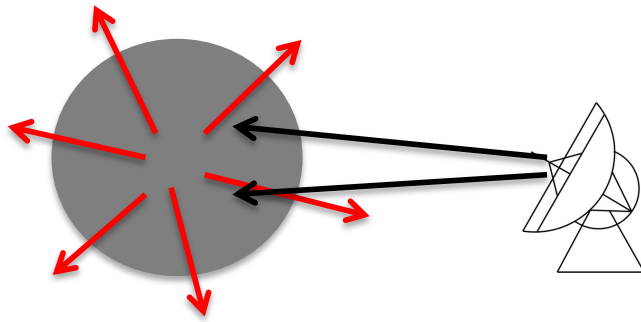
# Line profiles

Macroscopic motions: NO

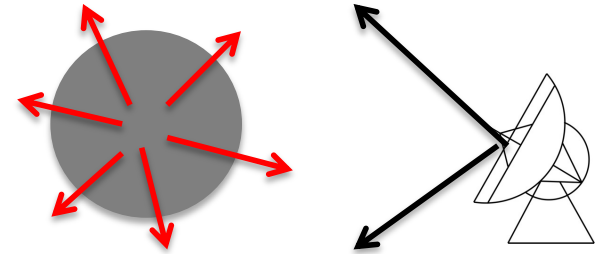
- quiescent source

Macroscopic motions: YES

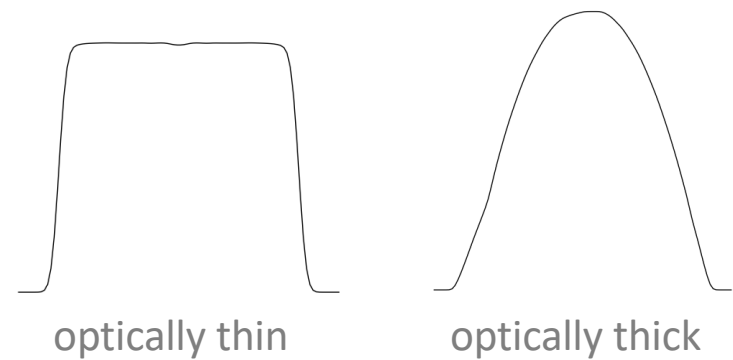
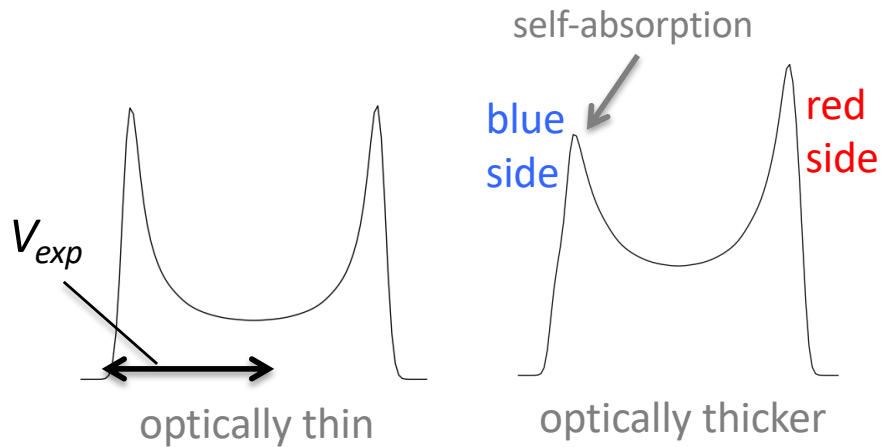
- expanding cloud



source resolved by telescope



source not resolved by telescope



# Line profiles

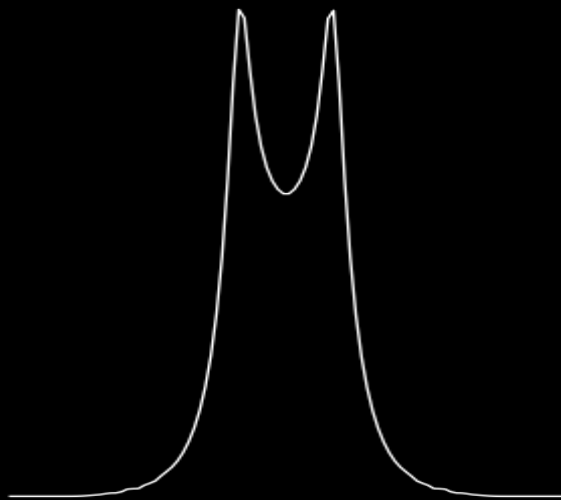
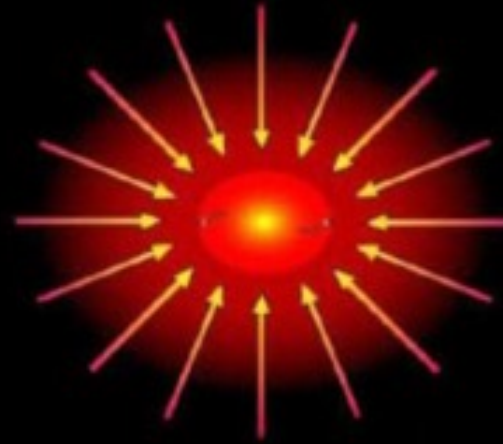
Macroscopic motions: NO

- quiescent source

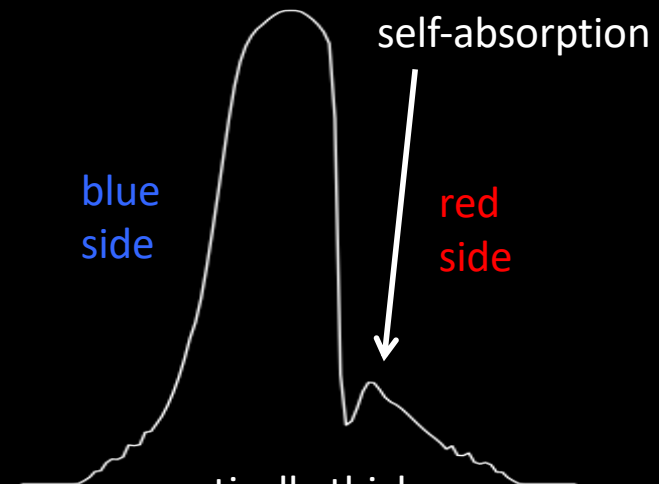
Macroscopic motions: YES

- expanding cloud

- collapsing cloud



optically thin



optically thick

## Remember !

Radiative transfer model:

- (1) Solve statistical equilibrium to get level populations  
(LVG, MC, ALI, ...)
- (2) Solve radiative transfer equation (ray-tracing) to get line profiles

Astronomical observation + radiative transfer model

You can retrieve - physical structure of the source  
(temperature, density, kinematics)

- chemical composition of the source  
(molecular abundances)