





- the dark ages of the Universe
- the first stars
- the first galaxies
- implications for subsequent structure formation

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first stars – summary

- star formation requires coolant for collapse
- only available coolant for first stars = H_2
- sufficient conditions are given for z < 100
- numerical models suggest that first stars are very massive $M \in [10, 500]M_{\odot}$
- massive stars die hard & fast:
 - supernovae of $M \in [8, 100]M_{\odot}$ will pollute IGM with metals, and
 - those metals facilitate subsequent star formation

the first stars

star formation in general



- star formation in general
 - virial theorem
 - energies of homogeneous sphere
 - Jeans Mass
 - isothermal gravitational collapse
 - cooling

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$$M_J = 5.46 \left(\frac{k_B}{G\mu_H m_H}\right)^{3/2} \left(\frac{T^3}{\rho}\right)^{1/2}$$

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star formation in general

• virial theorem

$$G = \sum_{i} \vec{p}_{i} \cdot \vec{r}_{i} \quad *$$

*Note: moment of inertia =>
$$I = \sum_{i} m_i \left| \vec{r}_i \right|^2 \implies \frac{1}{2} \frac{dI}{dt} = \frac{1}{2} \frac{d}{dt} \sum_{i} m_i \left| \vec{r}_i \right|^2 = \frac{1}{2} \sum_{i} m_i \frac{d\left| \vec{r}_i \right|^2}{dt} = \sum_{i} m_i \frac{d\vec{r}_i}{dt} \cdot \vec{r}_i = \sum_{i} \vec{p}_i \cdot \vec{r}_i = G$$

- star formation in general
 - virial theorem

$$G = \sum_{i} \vec{p}_{i} \cdot \vec{r}_{i} \implies \frac{d}{dt}G = \sum_{i} \dot{\vec{p}}_{i} \cdot \vec{r}_{i} + \sum_{i} \vec{p}_{i} \cdot \dot{\vec{r}}_{i}$$
$$= \sum_{i} \vec{F}_{i} \cdot \vec{r}_{i} + \sum_{i} \vec{p}_{i} \cdot \dot{\vec{r}}_{i}$$
$$= \sum_{i} \vec{F}_{i} \cdot \vec{r}_{i} + 2\sum_{i} E_{kin,i}$$
$$= \sum_{i} \vec{F}_{i} \cdot \vec{r}_{i} + 2E_{kin}$$

star formation in general

• virial theorem

$$\frac{dG}{dt} = \sum_{i} \vec{F}_{i} \cdot \vec{r}_{i} + 2E_{kin}$$

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$$\downarrow$$

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$$\sum_{i} \vec{F}_{i} \cdot \vec{r}_{i} = \sum_{i} (\nabla E_{pot}) \cdot \vec{r}_{i} = nE_{pot}$$

$$\vec{F} = -\nabla E_{pot} \qquad E_{pot} = Cr^{n} \implies \nabla E_{pot} = n\frac{E_{pot}}{r} \Rightarrow F \cdot r = nE_{pot}$$

star formation in general

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$$\frac{dG}{dt} = nE_{pot} + 2E_{kin}$$

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$$\left\langle \frac{dG}{dt} \right\rangle_{\tau} = \frac{1}{\tau} \int_{0}^{\tau} \frac{dG}{dt} dt = \frac{1}{\tau} \Big[G(\tau) - G(0) \Big]$$

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bound system! (coordinates and velocities have upper and lower limits...)

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bound system! (coordinates and velocities have upper and lower limits...)

$$\Rightarrow 0 = \left\langle \frac{dG}{dt} \right\rangle_{\tau} = 2 \left\langle E_{kin} \right\rangle_{\tau} + n \left\langle E_{pot} \right\rangle_{\tau} \quad ; \ E_{pot} \propto r^{n}$$

- star formation in general
 - virial theorem (*n* = -1 for gravity)

$$0 = 2 \langle E_{kin} \rangle_{\tau} - \langle E_{pot} \rangle_{\tau} \quad , \quad E_{pot} = Cr^{-1}$$

star formation in general

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 $0 = 2 \langle E_{kin} \rangle_{\tau} - \langle E_{pot} \rangle_{\tau} , \quad E_{pot} = Cr^{-1}$

 \rightarrow can be used to derive the Jeans mass for a homogenous sphere...

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• gravitational potential of homogeneous sphere (i.e., $\rho = \text{const.}$)

$$M(r) = \rho \frac{4\pi}{3} r^{3}$$
$$dM(r) = \rho 4\pi r^{2} dr$$
$$dE_{pot} = -G \frac{M(r) dM(r)}{r}$$

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$$dE_{pot} = -G \frac{M(r) dM(r)}{r} \implies E_{pot} = -4\pi G \rho \int_{0}^{R} \frac{M(r)}{r} r^{2} dr$$

$$= -4\pi G \rho^{2} \int_{0}^{R} \frac{4\pi}{3} r^{3}}{r} r^{2} dr$$

$$= -\frac{16\pi^{2}}{3} G \rho^{2} \int_{0}^{R} r^{4} dr$$

$$= -\frac{16\pi^{2}}{15} G \rho^{2} R^{5}$$

$$= -\frac{16\pi^{2}}{15} G \frac{M^{2}}{(\frac{4\pi}{3} R^{3})^{2}} R^{5}$$

the first stars

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• kinetic energy of homogeneous (gas) sphere

$$E_{kin} = \frac{3}{2}Nk_BT = \frac{3}{2}\frac{M}{\mu_H m_H}k_BT$$

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$$M_{J} = \sqrt{\frac{3(5k_{B})^{3}}{4\pi(G\mu_{H}m_{H})^{3}}}\sqrt{T^{3}}\frac{1}{\sqrt{\rho}}$$

star formation in general

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relation between T and ho determines fate of collapse...
star formation in general

• nature of gravitational collapse

= adiabatic?

star formation in general

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= adiabatic?

$$PV^{\gamma} = const. \implies T \propto \rho^{\gamma-1} \implies T \propto \rho^{2/3}$$
$$P = \frac{\rho}{\mu_H m_H} k_B T \qquad \gamma = 5/3 \text{(monatomic gas)}$$

star formation in general

• nature of gravitational collapse

= adiabatic?



 $M > M_J \implies$ collapse starts $\implies \rho ? \implies M_J ? \implies$ collapse stops!

star formation in general

- nature of gravitational collapse
 - = isothermal?

star formation in general

• nature of gravitational collapse

= isothermal!



$$M_J = 5.46 \left(\frac{k_B}{G\mu_H m_H}\right)^{3/2} \left(\frac{T^3}{\rho}\right)^{1/2} \propto \frac{1}{\sqrt{\rho}}$$

star formation in general

• nature of gravitational collapse

= isothermal!



$$M_{J} = 5.46 \left(\frac{k_{B}}{G\mu_{H}m_{H}}\right)^{3/2} \left(\frac{T^{3}}{\rho}\right)^{1/2} \propto \frac{1}{\sqrt{\rho}}$$

 $M > M_J \implies$ collapse starts $\implies \rho \nearrow \implies M_J \bowtie \implies$ "runaway" collapse!

collapse converges to **isothermal sphere**...

star formation in general

- nature of gravitational collapse
 - = isothermal sphere

$$\rho \propto \frac{M_J}{R_J^3} \propto \frac{1/\sqrt{\rho}}{R_J^3} \implies \rho^{3/2} \propto \frac{1}{R_J^3} \implies \rho \propto \frac{1}{R_J^2} \implies \log \rho \propto -2\log R$$



star formation in general

- nature of gravitational collapse
 - = isothermal collapse **requires cooling**:

dust grains/metals can absorb and re-emit energy... ...but there are no such things at cosmic dawn!?*

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this is where and why the first star formation differs from today's: in the primeval Universe was no dust/metal acting as coolant!

first star formation

cooling

the dominant coolant is (molecular) hydrogen H_2 !

first star formation

cooling

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• cooling by H_2 via rotational/vibrational channels:

- rotational/vibrational excitation through collision
- de-excitation via...
 - radiation (\rightarrow cooling) or
 - collision

first star formation

 \bullet cooling by H_2 requires H_2 in the first place \ldots

 \therefore formation of H₂

$$\begin{array}{ccc} \circ & \mathsf{H} + e^{-} & \longrightarrow \mathsf{H}^{-} + \gamma \\ \circ & \mathsf{H} + \mathsf{H}^{-} & \longrightarrow \mathsf{H}_{2} + e^{-} \end{array}$$

$$\begin{array}{ccc} \circ & \mathsf{H} + p & \longrightarrow \mathsf{H_2}^+ + \gamma \\ \circ & \mathsf{H} + \mathsf{H_2}^+ & \longrightarrow \mathsf{H_2} + \mathsf{H}^+ \end{array}$$

$$\begin{array}{ccc} & H + H + H & \rightarrow H_2 + H \\ & H + H + H_2 & \rightarrow H_2 + H_2 \end{array}$$

first star formation

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 $\begin{array}{cccc} & & & & & & \\ & & & & H + e^{-} & & & & \\ & & & & H + H^{-} & & & & \\ & & & & H + H^{-} & & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & & H + H^{-} & & & \\ & & & & H + H^{-} & & & \\ & & & & H + H^{-} & & & \\ & & & & H + H^{-} & & & \\ & & & & H + H^{-} & & & \\ & & & & H + H^{-} & & & \\ & & & & H + H^{-} & & & \\ & & & & H + H^{-} & & & \\ & & & & H + H^{-} & & \\ & & & & H + H^{-} & & \\ & & & H + H^{-} & & & \\ & & & H + H^{-} & & \\ & & H + H^{-} & & \\ & & H + H^{-} & & \\ & & H + H^{-} &$

*remnants of the epoch of recombination plus ionized H by energy of initial collapse

first star formation

• cooling by H_2 requires H_2 in the first place...

*remnants of the epoch of recombination plus ionized H by energy of initial collapse

first star formation

- cooling by H_2 requires H_2 in the first place...
 - \therefore formation of H₂ requires:
 - presence of free e and p
 - high densities $n_{\rm H}$ >10⁸ cm⁻³

\therefore H_2 fraction χ_{H2} must exceed ${\sim}5x~10^{\text{-3}}$ for cooling to be effective







• cooling by H_2 : formation of H_2



the first stars

- \bullet cooling by H_2
 - \circ adiabatic collapse due to lack of sufficient H₂
 - \circ increasing density leads to more H₂
 - o increasing temperature leads to more efficient cooling
 - o collapse becomes isothermal...

first star formation

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... how massive are those first stars?

first star formation

• masses of first stars

• mass growth of proto-stellar gas cloud: $M_*(t) = M_{pr} + \int \dot{M}(\tau) d\tau$



- masses of first stars
 - mass growth of proto-stellar gas cloud: $M_*(t) = M_{pr} + \int_0^t \dot{M}(\tau) d\tau$ $\dot{M} = dM/dt$



first star formation

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 - o numerical models for mass accretion rate M = dM/dt lead to...
 - feedback can substantially reduce accretion rates and hence M_* :



- primeval Initial Mass Function
 - first stars have mass $M \in [10, 500]M_{\odot}$
 - \circ determined via simulations:



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on the life and death of high-mass stars

• mass-luminosity relation for main sequence stars

$$L \propto \frac{dE}{dt}$$

on the life and death of high-mass stars

• mass-luminosity relation for main sequence stars*

$$L \propto \frac{dE}{dt} \propto M^{3.5}$$

*approximate derivation:

perfect black-body radiator: $L = 4\pi R^2 \sigma T^4$ hydrostatic equilibrium: $\frac{dP}{dr} = -\frac{GM\rho}{r^2} \Rightarrow \langle P \rangle = -\frac{1}{3} \frac{E_{pot}}{V} \Rightarrow \langle P \rangle V = -\frac{1}{3} E_{pot} = \frac{1}{5} \frac{GM^2}{R} = NkT = \frac{M}{m_H} kT = \frac{M}{m_H} k \frac{L^{1/4}}{4\pi R^{1/2}}$ $M^{3.33} \propto L \iff M^4 \propto L M^{2/3} \iff M^4 \propto L R^2 \iff M \propto L^{1/4} R^{1/2} \iff \frac{M^2}{R} \propto M \frac{L^{1/4}}{R^{1/2}}$

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 $E \propto M$

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high-mass stars die hard § & fast*

- on the life and death of high-mass stars
 - metal-free high-mass stars either...
 - o form a black hole or...
 - completely disrupt ('pair instability supernova')

- on the life and death of high-mass stars
 - initial mass vs. final mass in general



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- first stars open questions
 - do the first stars also form in binaries?
 - how did Pop III star formation come to an end?
 - what is the influence of magnetic fields?
 - how exactly works turbulence/fragmentation?
 - what about dark matter?

first stars – open questions

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- the dark ages of the Universe
- the first stars
- the first galaxies
- implications for subsequent structure formation

• protogalaxies¹ are forming **within** dark matter halos

 \rightarrow baryons fall into dark matter potential wells

¹protogalaxy = gravitationally bound gas cloud

the first bound objects

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biased galaxy formation scenario (White & Rees 1974)



the first bound objects – summary

• characterize DM peaks by their "height" ν

$$v = \frac{\delta_c}{D(a)\sigma_0(M)}, \quad \sigma_0^2(M) = \frac{1}{2\pi^2} \int_0^\infty P_0(k) \hat{W}_M^2(k) k^2 dk , \quad \ddot{D} + 2H\dot{D} - \frac{3}{2}\Omega_m H^2 D = 0$$

- compare dark matter $M_{\nu\sigma}(a)$ to its Jeans mass $M_J(a)$
- it is possible to form 3- σ dark matter haloes already at $z \approx 30$
- dark matter haloes virialize due to relaxation processes



the first bound objects

• number density of dark matter halos (according to Press-Schechter formalism)

$$\frac{dn}{dM}dM = \sqrt{\frac{2}{\pi}} \frac{\overline{\rho}}{M} \frac{\delta_c}{\sigma_M} \left| \frac{d\ln\sigma_M}{d\ln M} \right| \exp\left(\frac{-\delta_c^2}{2\sigma_M^2}\right) \frac{dM}{M}$$

$$\sigma_M^2 = \frac{1}{2\pi^2} \int_0^{+\infty} P(k) \hat{W}^2(kR) k^2 \, dk$$
$$\hat{W}(x) = \frac{3}{x^3} \left(\sin(x) - x \cos(x) \right)$$
$$P(k) = \left(\frac{D(a)}{D(a_0)} \right)^2 P_0(k)$$

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combine and introduce

$$\nu = \frac{\delta_c}{D(z)\sigma_0(M)}$$

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characterize peaks by their "height"

$$\sigma_0^2(M) = \frac{1}{2\pi^2} \int_0^\infty P_0(k) \hat{W}_M^2(k) k^2 dk$$



dark matter halo mass > Jeans mass

 \Rightarrow definite collapse!



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• dark matter halo mass > Jeans mass:
$$M_J \propto \left(\frac{T^3}{\rho}\right)^{1/2}$$

(cf. "star formation" slides)



• dark matter halo mass > Jeans mass:
$$M_J \propto \left(\frac{\sigma_v^6}{\rho}\right)^{1/2}$$

$$E_{kin} = \frac{3}{2}Nk_BT = \frac{1}{2}m\sigma_v^2$$

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protogalaxies

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scaling with redshift?

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$$\sigma_v \propto a^{-1}$$
$$\rho \propto a^{-3}$$

protogalaxies





the first bound objects



 \Rightarrow formation becomes easier and easier...



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Note:

This Jeans mass refers to the mass of a dark matter halo, but determines whether its baryonic component is able to collapse or will be prevented from it.



 \Rightarrow formation becomes easier and easier...

- the first bound objects
 - "3- σ dark matter halos"

$$v = \frac{\delta_c}{D(z)\sigma_0(M_{3\sigma})} = 3$$

D(z) = linear growth factor (cf. LSS lecture)

$$\sigma_0^2(M) = \frac{1}{2\pi^2} \int_0^\infty P_0(k) \hat{W}_M^2(k) k^2 dk$$

the first bound objects

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 - "3- σ dark matter halos"



the first bound objects



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• spherical top-hat collapse: $1 + \delta_{TH}(t_{vir}) = 18\pi^2 \approx 178$ (cf. LSS lecture)

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assumption of virial theorem in derivation! ...but how do dark matter haloes reach it?

the first bound objects

- spherical top-hat collapse: $1 + \delta_{TH}(t_{vir}) = 18\pi^2 \approx 178$ (cf. LSS lecture)
- relaxation & virialisation:
 - relaxation: process by which system acquires equilibrium*
 - virialisation: finally reaching virial equilibrium 2T = -U

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- spherical top-hat collapse: $1 + \delta_{TH}(t_{vir}) = 18\pi^2 \approx 178$ (cf. LSS lecture)
- relaxation towards virial equilibrium:
 - two-body relaxation: two-body interactions
 - violent relaxation: change in energy due to change in overall potential
 - phase-mixing: spreading of phase-space due to different frequencies of orbits
 - chaotic mixing: spreading of phase-space due to chaotic nature of orbits
 - Landau damping: damping and decay of perturbations

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$$t_{relax} \approx \frac{N}{10 \ln N} t_{cross}$$
 , $t_{cross} \approx \frac{R}{v}$



$$t_{relax} >> t_{Hubble}$$

(for all cosmological objects of interest to us...)

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 - Landau damping: damping and decay of perturbations...

...due to interaction of particles with (density) waves





the first bound objects – summary

• characterize DM peaks by their "height" ν

$$v = \frac{\delta_c}{D(a)\sigma_0(M)}, \quad \sigma_0^2(M) = \frac{1}{2\pi^2} \int_0^\infty P_0(k) \hat{W}_M^2(k) k^2 dk , \quad \ddot{D} + 2H\dot{D} - \frac{3}{2}\Omega_m H^2 D = 0$$

- compare dark matter $M_{\nu\sigma}(a)$ to its Jeans mass $M_J(a)$
- it is possible to form 3- σ dark matter haloes already at $z \approx 30$
- dark matter haloes virialize due to relaxation processes





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...and what about the proto-galaxies now?
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proto-galaxies

- presence of DM halo appears inevitable, but
- potential well of DM halo needs to be sufficiently deep to retain gas heated to high temperatures (> 10^4 K) by first stars
- cooling of gas cloud required
- collapse to disk-like structure because of angular momentum^{*} conservation
- fragmentation via turbulence





*tidal torque theory: anisotropic collapse of δ

proto-galaxies

• complexity of galaxy formation in general:



- the dark ages of the Universe
- the first stars
- the first galaxies
- implications for subsequent structure formation

proto-galaxies

- enrichment of the Universe with heavy elements
- re-ionisation of the Universe

 \rightarrow first objects affect everything that comes afterwards

cosmic effects of first objects

the first supernova explosion

- explosion after ca. 10⁶ years
- $E_{SN} = 10^{53} \text{ ergs}$
- color-coded gas density after 1 Myr
 - red dots = stellar ejecta
 - blue dots = HII regions
- inset panel:
 - metal distribution after 3Myrs



Ikpc

cosmic effects of first objects

Active Galactic Nuclei









reionising the Universe



cosmic effects of first objects

reionising the Universe

- energy released by first objects ionizes neutral hydrogen
- detected via...

...Gunn-Peterson trough in QSO spectra: neutral hydrogen along line-of-sight absorbs photons



cosmic effects of first objects

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cosmic effects of first objects

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...Thomson scattering of CMB photons: erasing of small scale anisotropies, polarization of CMB, Planck 2013: reionisation started at z=11



reionising the Universe - inhomogenous process



reionising the Universe - inhomogenous process





reionising the Universe - inhomogenous process





reionising the Universe - Cosmic Dawn simulation (Ocvirk et al. 2016)





cosmic effects of first objects

reionising the Universe – first stars? first galaxies?



Cosmic Dawn: The Real Moment of Creation

